



Practitioner's Docket No. 2003DE428

PATENT

IPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: DIRK LEINWEBER

Examiner:

Application No.: 10/559,719

Group No.:

Filed: 12/05/2005

For: ALKOXYLATED, CROSSLINKED POLYGLYCEROLS AND USE
THEREOF AS BIODEGRADABLE DEMULSIFIER

Commissioner for Patent
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

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 - 1a. ☒ Form PTO-1449 (PTO/SB/08a and/or PTO/SB/08b).
 - 1b. ☒ Copies of Information Disclosure Statement citations.
 - 1c. ☒ PCT or EP Search Report .
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2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.
7. ☐ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☒ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s):
Application No. 10/562,097, U.S. filed 12/21/2005 Copy Enclosed
Application No. 11/132,413, filed 05/18/2005, published as 2005-0257421, 11/24/2005
Application No. 11/072,033, filed 03/04/2005, published as 2005-019726, 09/08/2005
Application No. 11/036,693, filed 1/14/2005, published as 2005-0155282, 07/21/2005

Respectfully submitted,

Richard P. Silverman, Registration No. 36,277

CUSTOMER NO. 25,255

Clariant Corporation, Industrial Property Department, 4000 Monroe Road, Charlotte, North Carolina 28205
Telephone: 704/331-7156, Facsimile: 704/331-7707

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/559,719
Filing Date	12/05/2005
First Named Inventor	DIRK LEINWEBER
Art Unit	
Examiner Name	
Attorney Docket Number	2003DE428

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 2,944,982	07/12/1960	DE GROOTE	
	AB	US- 3,110,737	11/12/1963	DE GROOTE	
	AC	US- 4,061,684	12/06/1977	HELFERT	
	AD	US- 4,263,178	04/21/1981	GUTH	
	AE	US- 4,321,146	03/23/1982	MCCOY	
	AF	US- 4,342,657	09/16/1997	BLAIR	
	AG	US- 5,445,765	08/29/1995	ELFERS	
	AH	US- 5,502,219	03/26/1996	HARRIS	
	AI	US- 5,759,409	06/02/1998	OPPENLAENDER	
	AJ	US- 5,981,687	11/09/1999	BREEN	
	AK	US- 20040072916	04/15/2004	LIENWEBER	
	AL	US-			
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	AP	US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	BA	DD 229 006	10/30/1985	VEB Petrolchemische	See Page 2 CA	<input checked="" type="checkbox"/>
	BB	WO 98/03243	01/29/1998	HENKEL	See Page 2 CB	<input type="checkbox"/>
	BC	CA 205 7425	06/15/1992	BAYER		<input type="checkbox"/>
	BD	CA 206 1174	08/16/1992	BASF		<input type="checkbox"/>
	BE					<input type="checkbox"/>

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Application Number 10/559,719

Filing Date 12/05/2005

First Named Inventor DIRK LEINWEBER

Art Unit

Examiner Name

Attorney Docket Number 2003DE428

Sheet 2

of

2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	English Language Abstract of DD 229 006, 10/30/1985	<input checked="" type="checkbox"/>
	CB	English language Abstract of WO 98/03243, 01/29/1998	<input type="checkbox"/>
	CC		<input type="checkbox"/>
	CD		<input type="checkbox"/>
	CE		<input type="checkbox"/>
	CF		<input type="checkbox"/>
	CG		<input type="checkbox"/>
	CH		<input type="checkbox"/>
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